

# Hopf dreams and diagonal harmonics

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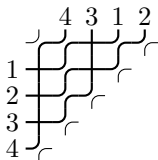


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POLYTECHNIQUE  
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## Pipe dreams

Fill a triangular shape with crosses  $+$  and elbows  $\curvearrowright$ :



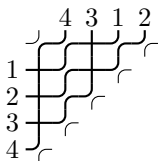
A pipe dream  $P \in \Pi_4$  where  $\omega_P = [4, 3, 1, 2]$ .

Conditions:

- ▶ pipes entering on the left exit on the top.
- ▶ two pipes cross at most once.
- ▶ the top left corner is an elbow  $\curvearrowright$ .

## Pipe dreams

Fill a triangular shape with crosses  $+$  and elbows  $\curvearrowright$ :

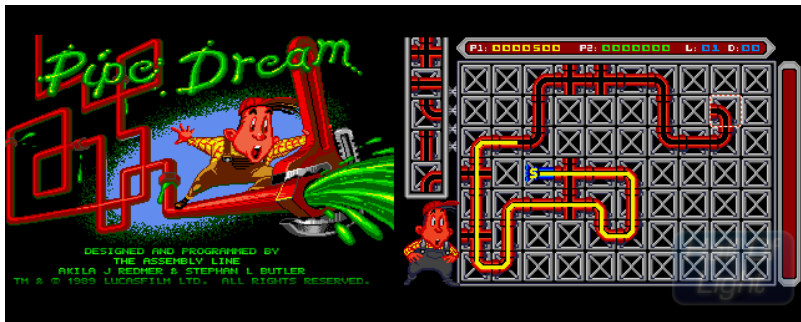


A pipe dream  $P \in \Pi_4$  where  $\omega_P = [4, 3, 1, 2]$ .

Introduced and studied by:

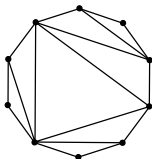
- ▶ S. Fomin and A. N. Kirillov. The Yang-Baxter equation, symmetric functions, and Schubert polynomials. (FPSAC 1993)
- ▶ N. Bergeron and S. Billey. RC-graphs and Schubert polynomials. (Experiment. Math. 1993)
- ▶ A. Knutson and E. Miller. Gröbner geometry of Schubert polynomials. (Ann. of Math. 2005)
- ▶ ...

# Pipe dreams

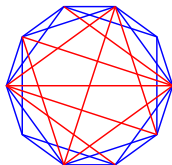


## Pipe dreams: why are they interesting?

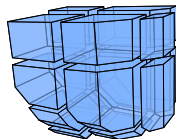
1. They give a combinatorial understanding of Schubert polynomials in the study of Schubert varieties.
2. Pipe dreams of certain families of permutations encode interesting combinatorial-geometric objects:



triangulations



multitrangulations



$\nu$ -Tamari lattices

### Goal

- ▶ Introduce a Hopf algebra structure on pipe dreams.
- ▶ Present some applications.

## Hopf algebras

Hopf algebra: Vector space whose generators can be multiplied and comultiplied in a compatible way. Also there is an antipode.

### Example

$$\mathbf{k}G: \Delta(g) = g \otimes g \quad m(g \otimes h) = gh.$$

- ▶ Polynomial rings
- ▶ Permutations
- ▶ Cohomology of Lie groups
- ▶ Universal enveloping algebra of Lie algebras
- ▶ Quantum groups
- ▶ Many more ...

## Examples: Hopf algebra on permutations

$\mathfrak{S}_n$ : collection of permutations of  $[n]$

$\mathbf{k}\mathfrak{S}$ : vector space spanned by all permutations

Theorem (Malvenuto, 1994, Malvenuto–Reutenauer, 1995)

$\mathbf{k}\mathfrak{S}$  may be equipped with a structure of graded Hopf algebra.

Comultiplication: sum of pairs obtained by cutting a permutation in two

$$\Delta(312) = 312 \otimes \emptyset + 21 \otimes 1 + 1 \otimes 12 + \emptyset \otimes 312$$

Multiplication: sum of all possible shuffles between two permutations

$$12 \cdot 21 = 1243 + 1423 + 1432 + 4123 + 4132 + 4312$$

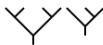
## Examples: Hopf algebra on binary trees

$Y_n$ : collection of planar binary trees with  $n$  leaves

$\mathbf{k}Y$ : vector space spanned by all planar binary trees

Theorem (Loday–Ronco, 1998)

$\mathbf{k}Y$  may be equipped with a structure of graded Hopf algebra.



Comultiplication



Multiplication



## Comultiplication

$$\begin{aligned}\Delta_n: \Pi_n &\longrightarrow \bigoplus_{\gamma=0}^n \Pi_\gamma \otimes \Pi_{n-\gamma} \\ P &\longmapsto \sum_{\gamma \in GD(\omega_P)} \Delta_{\gamma, n-\gamma}(P).\end{aligned}$$

The diagram illustrates the comultiplication of a permutation matrix. On the left, a permutation matrix is shown with 7 rows and 7 columns. Red lines represent the permutation, and blue lines represent the cut. The top row is labeled with blue numbers 6, 5, 7, 4, 2, 1, 3. The left side of the matrix is labeled with red numbers 1, 2, 3, 4, 5, 6, 7. On the right, the result of the comultiplication is shown as the tensor product of two permutation matrices. The first matrix has 4 rows and 4 columns, labeled with blue numbers 3, 2, 4, 1. The second matrix has 3 rows and 3 columns, labeled with red numbers 2, 1, 3.

$$\Delta_{4,3} \left( \begin{matrix} & & 6 & 5 & 7 & 4 & 2 & 1 & 3 \\ & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner \\ 1 & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner \\ 2 & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner \\ 3 & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner \\ 4 & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner \\ 5 & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner \\ 6 & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner \\ 7 & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner \end{matrix} \right) = \begin{matrix} & & 3 & 2 & 4 & 1 \\ & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner \\ 1 & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner \\ 2 & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner \\ 3 & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner \\ 4 & \lrcorner & \lrcorner & \lrcorner & \lrcorner & \lrcorner \end{matrix} \otimes \begin{matrix} & & 2 & 1 & 3 \\ & \lrcorner & \lrcorner & \lrcorner & \lrcorner \\ 1 & \lrcorner & \lrcorner & \lrcorner & \lrcorner \\ 2 & \lrcorner & \lrcorner & \lrcorner & \lrcorner \\ 3 & \lrcorner & \lrcorner & \lrcorner & \lrcorner \end{matrix}$$

The sum ranges over allowable cuts of the permutation: global descents.

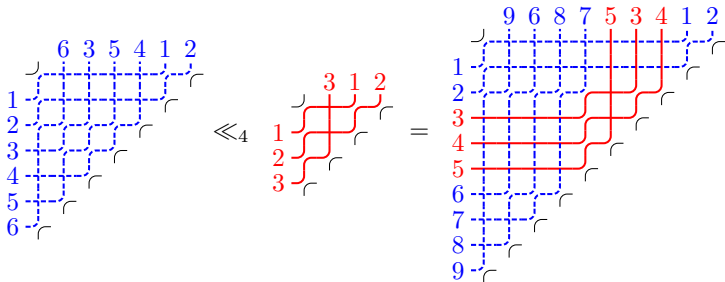
# Comultiplication

$$\Delta_4 \left( \begin{array}{c} \text{4 3 1 2} \\ \text{1} \\ \text{2} \\ \text{3} \\ \text{4} \end{array} \right) = \begin{array}{c} \text{4 3 1 2} \\ \text{1} \\ \text{2} \\ \text{3} \\ \text{4} \end{array} \otimes \begin{array}{c} \text{1} \\ \text{2} \end{array} + \begin{array}{c} \text{2 1} \\ \text{1} \\ \text{2} \end{array} \otimes \begin{array}{c} \text{1 2} \\ \text{1} \\ \text{2} \end{array} \\
 + \begin{array}{c} \text{1} \\ \text{1} \end{array} \otimes \begin{array}{c} \text{3 1 2} \\ \text{1} \\ \text{2} \\ \text{3} \end{array} + \begin{array}{c} \text{1} \\ \text{2} \\ \text{3} \\ \text{4} \end{array} \otimes \begin{array}{c} \text{4 3 1 2} \\ \text{1} \\ \text{2} \\ \text{3} \\ \text{4} \end{array}$$

The diagram illustrates the comultiplication  $\Delta_4$  of a 4-strand braid. The left side shows the braid with strands labeled 1, 2, 3, 4 from bottom to top and crossings labeled 4, 3, 1, 2 from left to right. The right side shows the decomposition into four terms, each representing a tensor product of two smaller braids. The strands in each term are colored blue or red to show their origin in the original braid.

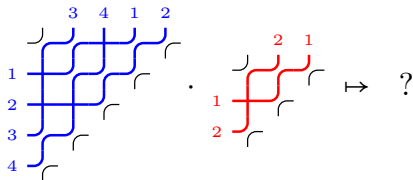
# Multiplication

Inserting a pipe dream in another:

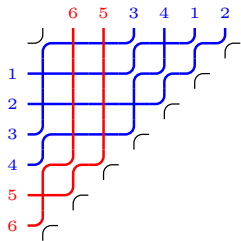


# Multiplication

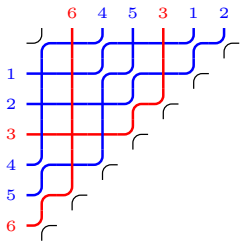
$$\begin{aligned} \mu_{r,s}: \Pi_r \otimes \Pi_s &\longrightarrow \Pi_{r+s} \\ P \cdot Q &\longmapsto ? \end{aligned}$$



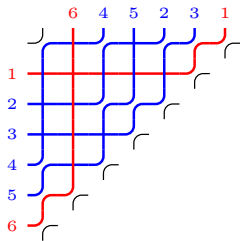
# Multiplication



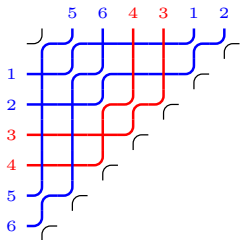
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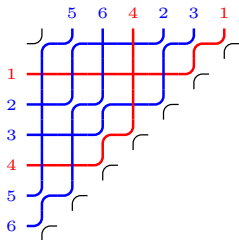
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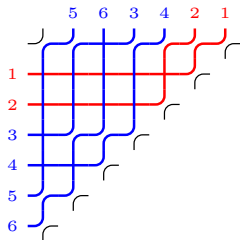
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## A Hopf algebra on pipe dreams

$\Pi_n$ : collection of pipe dreams of permutations in  $\mathfrak{S}_n$

$\mathbf{k}\Pi$ : vector space spanned by pipe dreams

### Theorem

*These operations endow  $\mathbf{k}\Pi$  with a graded Hopf algebra structure.  
This Hopf algebra is free and cofree.*

It generalizes the Loday–Ronco Hopf algebra on planar binary trees.

## Hopf subalgebra for walks on the plane

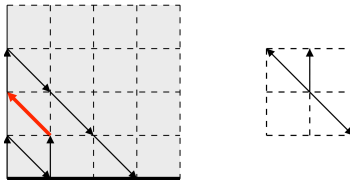
$S^{\text{id}}$ : permutations that decompose into identity permutations.

### Theorem

$k\Pi_{S^{\text{id}}}$  is a Hopf subalgebra of  $k\Pi$ .

### Conjecture

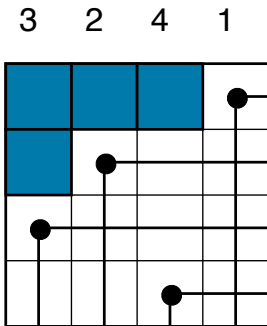
$\dim \deg n =$  number of walks in the quarter plane (within  $\mathbb{N}^2 \subset \mathbb{Z}^2$ ) starting at  $(0,0)$ , ending on the horizontal axis, and consisting of  $2n$  steps taken from  $\{(-1, 1), (1, -1), (0, 1)\}$ .



A proof was shown by Wenjie Fang last Monday.

## Hopf subalgebra of dominant pipe dreams

A permutation  $\omega$  is called *dominant* if its Rothe diagram is a Young diagram located at the top-left corner.



Schubert polynomials of dominant permutations are specially interesting.



## Hopf subalgebra of dominant pipe dreams

$S^{\text{dom}}$ : collection of all dominant permutations.

### Theorem

$\mathbf{k}\Pi_{S^{\text{dom}}}$  is a Hopf subalgebra of  $\mathbf{k}\Pi$ .

$$\blacktriangleright \dim \deg n = \det \begin{vmatrix} C_n & C_{n+1} \\ C_{n+1} & C_{n+2} \end{vmatrix}$$

Dominant pipe dreams are in bijection with pairs of nested Dyck paths.



## What is multivariate diagonal harmonics?

The story begins with the Macdonald positivity conjecture, regarding the coefficients of the Schur function expansion of Macdonald polynomials:

$$H_{\mu}(\mathbf{x}; q, t) = \sum_{\nu \vdash \mu} k_{\mu\nu}(q, t) s_{\nu}(\mathbf{x}).$$

Conjecture (Macdonald Positivity Conjecture, 1988)

*$k_{\mu\nu}(q, t)$  are polynomials in  $q$  and  $t$  with non-negative coefficients.*

Garsia–Haiman’s combinatorial approach:

study a representation of the symmetric group on a space  $\partial D_{\mu}$

## Garsia–Haiman's combinatorial approach

Theorem (The  $n!$  conjecture, Haiman 2001)

For any  $\mu \vdash n$ , we have

$$\dim_{\mathbb{C}} \partial D_{\mu} = n!.$$

Theorem (Haiman 2001)

$$k_{\mu\nu}(q, t) = \sum_{i,j} t^i q^j \text{mult}(\chi^{\nu}, \text{ch}(D_{\mu})_{i,j})$$

*In particular, it is a polynomial with non-negative integer coefficients and the Macdonald positivity conjecture holds.*

For  $\mu = (1, 1, \dots, 1)$ ,  $\partial D_{\mu}$  is the *space of harmonics*.

M. Haiman. Hilbert schemes, polygraphs, and the Macdonald positivity conjecture. (J. Amer. Math. Soc. 2001)

## The space of harmonics

$\mathbb{Q}[\mathbf{x}] := \mathbb{Q}[x_1, \dots, x_n]$  is the polynomial ring in  $n$  variables,  
 $I :=$  ideal generated by invariant  $\mathfrak{S}_n$  polynomials with no constant term,  
 $\partial \mathbf{x} = \left( \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n} \right)$ .

### Definition

The *space of harmonics* is defined by

$$H_n = \{ h \in \mathbb{Q}[\mathbf{x}] : f(\partial \mathbf{x})h = 0, \forall f \in I \}.$$

### Fact

As  $\mathfrak{S}_n$ -modules,

$$H_n \cong \mathbb{Q}[\mathbf{x}]/I.$$

## The space of diagonal harmonics

$$\mathbb{Q}[\mathbf{x}, \mathbf{y}] := \mathbb{Q}[x_1, \dots, x_n, y_1, \dots, y_n]$$

$I :=$  ideal generated by invariant  $\mathfrak{S}_n$  polynomials with no constant term,

$$\partial \mathbf{x} = \left( \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n} \right).$$

### Definition

The *space of diagonal harmonics* is defined by

$$DH_n = \{h \in \mathbb{Q}[\mathbf{x}, \mathbf{y}] : f(\partial \mathbf{x}, \partial \mathbf{y})h = 0, \forall f \in I\}.$$

### Fact

as  $\mathfrak{S}_n$ -modules,

$$DH_n \cong \mathbb{Q}[\mathbf{x}, \mathbf{y}]/I.$$

## The space of diagonal harmonics

The  $(n + 1)^{n-1}$  conjecture by Garsia and Haiman from 1993:

Theorem (Haiman 2002)

*The dimension of  $DH_n$  is equal to  $(n + 1)^{n-1}$ .*

Theorem (Haiman 2002)

*The dimension of the alternating component of  $DH_n$  is equal to  $\frac{1}{n+1} \binom{2n}{n}$ .*

This led to the now famous  $q, t$ -Catalan polynomials!

M. Haiman. Vanishing theorems and character formulas for the Hilbert scheme of points in the plane. (Invent. Math. 2002)

## Multivariate diagonal harmonics

The space  $DH_n$  can be generalized to three, or more sets of variables.

### Conjecture (Haiman 1994)

*In the trivariate case,*

- ▶ *the dimension of  $DH_n$  is  $2^n(n+1)^{n-2}$ .*
- ▶ *the dimension of its alternating component is*

$$\frac{2}{n(n+1)} \binom{4n+1}{n-1}.$$

These two numbers can be combinatorially interpreted as the number of labeled and unlabeled intervals in the Tamari lattice.

No conjectural formulas are known for more sets of variables.



## In summary

The dimensions of the spaces of multivariate diagonal harmonics and their alternating components are

one set of variables	two sets of variables	three sets of variables	more sets of variables
$n!$	$(n+1)^{n-1}$	<b>Tamari lattice labelled intervals</b>	<b>Unknown</b>
1	$\frac{1}{n+1} \binom{2n}{n}$	<b>Tamari lattice intervals</b>	<b>Unknown</b>

**Open problems**

One may expect that dimensions for  $r$  sets of variables are counted by labeled and unlabeled chains  $(\pi_1, \dots, \pi_{r-1})$  in the Tamari lattice. But this is not true in general.

## Back to pipe dreams

Pipe dreams have a natural poset structure.

The number of intervals in the graded dimensions of  $\mathbf{k}\Pi_{\mathcal{S}^{\text{dom}}}$  is:

$$1, 4, 29, 297, 3823, 57956, \dots$$

They correspond to certain triples of Dyck paths.

### Definition (Hopf chains)

A Hopf chain of length  $r$  and size  $n$  is a tuple  $(\pi_1, \dots, \pi_r)$  of Dyck paths of size  $n$  such that

- ▶  $\pi_1$  is the bottom diagonal path,
- ▶ every triple comes from an interval of dominant pipe dreams.

## Counting Hopf chains

### Example (n=4)

The number of Hopf chains  $(\pi_1, \dots, \pi_r)$  of Dyck paths of size  $n = 4$  is

1, 14, 68, 217, 549, 1196, 2345, ...

### Example (n=4)

The dimension of the alternating component of the space of diagonal harmonics  $DH_n$  for fixed  $n = 4$  and  $r$  variables is equal to

1, 14, 68, 217, 549, 1196, 2345, ...

## Counting Hopf chains

### Theorem

For  $n \leq 4$  and any number  $r$  of sets of variables, the  $q, t$ -Frobenius characteristic of the multivariate diagonal harmonics space  $DH_{n,r}$  is

$$\Phi_{n,r}(q, t) = \sum_{\substack{\text{Hopf chains} \\ \pi = (\pi_1, \pi_2, \dots, \pi_r)}} q^{\text{col}(\pi)} \mathbb{L}_{\pi_r}(t),$$

where  $\mathbb{L}_{\pi}(t)$  denotes the LLT polynomial of Lascoux, Leclerc and Thibon, and  $\text{col}(\pi)$  is certain statistic on Hopf chains.

## Counting Hopf chains

### Corollary

For  $n \leq 4$  and any number  $r$  of sets of variables:

1. The bigraded Hilbert series of  $\text{Alt}(DH_{n,r})$  is

$$\tilde{\Phi}_{n,r}(q, t) = \sum_{\substack{\text{Hopf chains} \\ \pi = (\pi_1, \pi_2, \dots, \pi_r)}} q^{\text{col}(\pi)} t^{\text{dinv}(\pi_r)}.$$

2. The  $q$ -Frobenius characteristic of  $DH_{n,r}$  is

$$\Phi_{n,r}(q, 1) = \sum_{\substack{\text{Hopf chains} \\ \pi = (\pi_1, \pi_2, \dots, \pi_r)}} q^{\text{col}(\pi)} e_{\text{type}(\pi_r)}.$$

## Counting Hopf chains

### Corollary

*For  $n \leq 4$  and any number  $r$  of sets of variables:*

- 1. The dimension of  $\text{Alt}(DH_{n,r})$  equals the number of Hopf chains of length  $r$  and size  $n$ .*
- 2. The dimension of  $DH_{n,r}$  equals to the number of labeled Hopf chains of length  $r$  and size  $n$ .*

## Counting Hopf chains

The dimensions of the alternating and full component for fixed  $n \leq 4$  and arbitrary  $r$  are given in the following table:

$n$	number of Hopf chains	number of labelled Hopf chains
$n = 1$	$\binom{r}{0}$	$\binom{r+1}{0}$
$n = 2$	$\binom{r}{1}$	$\binom{r+1}{1}$
$n = 3$	$\binom{r}{1} + 3\binom{r}{2} + \binom{r}{3}$	$\binom{r+1}{1} + 4\binom{r+1}{2} + \binom{r+1}{3}$
$n = 4$	$\binom{r}{1} + 12\binom{r}{2} + 29\binom{r}{3}$ $+ 25\binom{r}{4} + 9\binom{r}{5} + \binom{r}{6}$	$\binom{r+1}{1} + 22\binom{r+1}{2} + 56\binom{r+1}{3}$ $+ 40\binom{r+1}{4} + 11\binom{r+1}{5} + \binom{r+1}{6}$

## Counting Hopf chains

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For  $n = 5$  the result is almost true:

$$\text{Excess}_{n=5} = \binom{k+4}{9} e_{[5]} + \binom{k+4}{8} e_{[4,1]}.$$

We have a few possible candidates that kill this excess but do not have a combinatorial rule to describe them at the moment.



To be continued ...

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Thank you!