

A NEW FORMULA FOR STANLEY'S  
CHROMATIC SYMMETRIC FUNCTION FOR UNIT  
INTERVAL GRAPHS AND  $e$ -POSITIVITY FOR  
TRIANGULAR LADDER GRAPHS

Samantha Dahlberg  
Arizona State University



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## OVERVIEW

- 1 Chromatic symmetric functions.
- 2 The  $(3 + 1)$ -free conjecture.
- 3 Triangular ladders,  $TL_n$ .
- 4 Chromatic symmetric functions in non-commuting variables.
- 5 Deletion-contraction.
- 6 Semi-symmetrized  $e$ -positivity.
- 7 Signed formula for unit interval graphs.
- 8 Sign-reversing involution for  $TL_n$ .
- 9 Further work.

# GRAPHS COLORINGS

Given  $G$  with vertex set  $V$  a *proper coloring*  $\kappa$  of  $G$  is

$$\kappa : V \rightarrow \{1, 2, 3, \dots\}$$

so if  $u, v \in V$  are joined by an edge then

$$\kappa(u) \neq \kappa(v).$$



# CHROMATIC SYMMETRIC FUNCTION: STANLEY 1995

Given a proper coloring  $\kappa$  of vertices  $v_1, \dots, v_N$  we associate a monomial in commuting variables  $x_1, x_2, x_3, \dots$

$$x_{\kappa(v_1)} x_{\kappa(v_2)} \cdots x_{\kappa(v_N)}.$$



The *chromatic symmetric function* is

$$X_G = \sum_{\kappa} x_{\kappa(v_1)} x_{\kappa(v_2)} \cdots x_{\kappa(v_N)}$$

summed over all proper colorings  $\kappa$ .

$$\begin{aligned} X_{P_3} = & x_1^2 x_2 + x_1 x_2^2 + x_1^2 x_3 + x_1 x_3^2 + x_2^2 x_3 + x_2 x_3^2 + \cdots \\ & + 6x_1 x_2 x_3 + \cdots \end{aligned}$$

# SYMMETRIC FUNCTIONS

The *algebra of symmetric functions*,  $\Lambda$ , contains all formal power series  $f$  in commuting variables  $x_1, x_2, \dots$  such that for all permutations  $\pi$

$$f(x_1, x_2, \dots) = f(x_{\pi(1)}, x_{\pi(2)}, \dots).$$

$$f(x) = x_1^2 x_2 + x_1 x_2^2 + x_1^2 x_3 + x_1 x_3^2 + x_2^2 x_3 + x_2 x_3^2 + \dots$$

**Fact:** Any basis of  $\Lambda$  is indexed by integer partitions.

An *integer partition*  $\lambda = (\lambda_1, \dots, \lambda_\ell)$  of  $n$ ,  $\lambda \vdash n$ , is a list of positive integers whose *parts*  $\lambda_i$  weakly decrease and sum to  $n$ .

$$(3, 1, 1) = (3, 1^2) \vdash 5$$

## CLASSIC BASES: ELEMENTARY

The  $i$ -th elementary symmetric function,  $e_i$ , is

$$e_i = \sum_{j_1 < j_2 < \dots < j_i} x_{j_1} \dots x_{j_i}$$

and

$$e_\lambda = e_{\lambda_1} \dots e_{\lambda_\ell}.$$

$$e_{(2,1)} = e_2 e_1 = (x_1 x_2 + x_1 x_3 + x_2 x_3 + \dots)(x_1 + x_2 + x_3 + \dots)$$

For the complete graph  $K_n$  on  $n$  vertices

$$\chi_{K_n} = n! e_n.$$

## e-POSITIVITY

Call a graph  $G$  *e-positive* if  $X_G$  is a non-negative sum of elementary symmetric functions.

$$G = \text{---} \circ \text{---} \circ \text{---} \circ \quad \text{has} \quad X_G = e_{(2,1)} + 3e_{(3)}. \quad \checkmark$$

$$K_{31} = \begin{array}{c} \circ \\ \diagup \\ \circ \\ \diagdown \\ \circ \end{array} \quad \text{has} \quad X_{K_{31}} = e_{(2,1,1)} - 2e_{(2,2)} + 5e_{(3,1)} + 4e_{(4)}. \quad \times$$

The *claw*,  $K_{31}$ , is the smallest graph that is not e-positive.

## $(3 + 1)$ -FREE CONJECTURE

### CONJECTURE (STANLEY-STEMBRIDGE 1993)

*If  $G$  is an indifference graph of a  $(3 + 1)$ -free poset then  $X_G$  is  $e$ -positive.*



### THEOREM (GUAY-PAQUET 2013)

*It is sufficient to prove the Stanley-Stembridge conjecture for all  $(2 + 2)$  and  $(3 + 1)$ -free posets.*



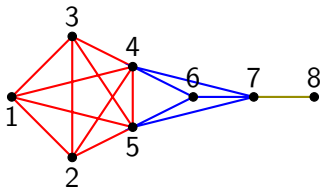
# INTERVAL GRAPHS

The indifference graphs for  $(2 + 2)$  and  $(3 + 1)$ -free posets are *unit interval graphs*. Construct an unit interval graphs from a collection of integer intervals

$$[a_1, b_1], [a_2, b_2], \dots, [a_l, b_l].$$

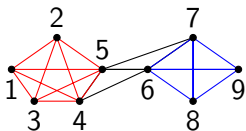
On each interval we place a complete graph.

The interval graph for the intervals  $[1, 5]$ ,  $[4, 7]$  and  $[7, 8]$  is

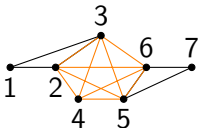


## KNOWN $e$ -POSITIVE UNIT INTERVAL GRAPHS

- The paths  $[1, 2], [2, 3], \dots, [n - 1, n]$  (Stanley 1995).
- Any list containing  $[1, j]$  and  $[j + 1, n]$  (Stanley 1995).



- Any list containing  $[2, n - 1]$  (Cho and Huh 2017).



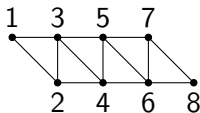
- Any list  $[1, j_1], [j_1, j_2], \dots, [j_k, n]$  (Gebhard and Sagan 2001).

# TRIANGULAR LADDERS

The graph  $P_{n,2}$  comes from intervals  $[1, 3], [2, 4], \dots, [n-2, n]$ , which we will call *triangular ladders*,  $TL_n$ .

The graph  $TL_8$  comes from

$[1, 3], [2, 4], [3, 5], [4, 6], [5, 7], [6, 8]$ .



In 1995 Stanley wrote

“It remains open whether  $P_{d,2}$  is e-positive.”

# CHROMATIC SYMMETRIC FUNCTIONS IN NON-COMMUTING VARIABLES

A generalization by Gebhard and Sagan (2001).

Fix an ordering on the vertices  $v_1, \dots, v_N$ . The *chromatic symmetric function in non-commuting variables* is

$$Y_G = \sum_{\kappa} x_{\kappa(v_1)} x_{\kappa(v_2)} \cdots x_{\kappa(v_N)}$$

summed over all proper colorings  $\kappa$ .

$$G = \textcircled{1} - \textcircled{2} - \textcircled{3}$$

$$Y_G = x_1 x_2 x_1 + x_2 x_1 x_2 + x_1 x_3 x_1 + x_3 x_1 x_3 + \cdots \\ + x_1 x_2 x_3 + x_1 x_3 x_2 + x_2 x_1 x_3 + x_2 x_3 x_1 + \cdots$$

**Fact:** The vertex labeling matters.

# SYMMETRIC FUNCTIONS IN NON-COMMUTING VARIABLES

The *algebra of symmetric functions in non-commuting variables*, NCSym, contains all formal power series  $f$  in non-commuting variables  $x_1, x_2, \dots$  such that for all permutations  $\pi$

$$f(x_1, x_2, \dots) = f(x_{\pi(1)}, x_{\pi(2)}, \dots).$$

$$f(x) = x_1 x_1 x_2 + x_2 x_2 x_1 + x_1 x_1 x_3 + x_3 x_3 x_1 + x_2 x_2 x_3 + x_3 x_3 x_2 + \dots$$

**Fact:** Any basis of NCSym is indexed by set partitions.

An *set partition*  $\pi = B_1/B_2/\dots/B_k$  of  $[n] = \{1, 2, \dots, n\}$ ,  $\pi \vdash [n]$  is a collection of nonempty disjoint subsets  $B_i$  called *blocks* that union to  $[n]$ .

$$\{1, 4\}/\{2, 5\}/\{3\} = 14/25/3 \vdash [5]$$

## CLASSIC BASES: ELEMENTARY

For  $\pi \vdash [n]$  the *elementary symmetric function in non-commuting variables*,  $e_\pi$ , is

$$e_\pi = \sum_{(i_1, i_2, \dots, i_n)} x_{i_1} x_{i_2} \cdots x_{i_n}$$

where  $i_j \neq i_k$  if  $j$  and  $k$  are in the same block of  $\pi$ .

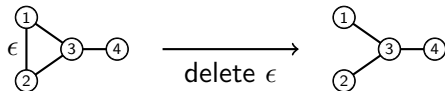
$$e_{12/3} = x_1 x_2 x_2 + x_1 x_2 x_1 + \cdots + x_1 x_2 x_3 + \cdots$$

For the complete graph  $K_n$  on  $n$  vertices

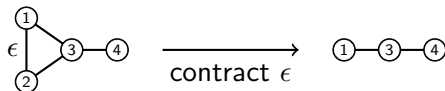
$$Y_{K_n} = e_{12 \dots n}.$$

# DELETION-CONTRACTION FOR $Y_G$

To *delete* an edge  $\epsilon$ ,  $G - \epsilon$ , remove  $\epsilon$ .



To *contract* an edge  $\epsilon$  between  $u$  and  $v$ ,  $G/\epsilon$ , merge  $u$  and  $v$  and any multiedges created.



## DELETION-CONTRACTION FOR $Y_G$

$$\textcircled{1}-\textcircled{2}-\overset{\epsilon}{\textcircled{3}} = \textcircled{1}-\textcircled{2} \textcircled{3} - \textcircled{1}-\textcircled{2} \uparrow_2$$

$$Y_{P_3} = (x_1 x_2 x_1 + x_1 x_2 x_2 + x_1 x_2 x_3 + \cdots) - (x_1 x_2 x_2 + \cdots)$$

Given a monomial of degree  $n - 1$  define the *induced monomial* for  $j < n$  to be

$$x_{i_1} x_{i_2} \cdots x_j \cdots x_{i_{n-1}} \uparrow_j = x_{i_1} x_{i_2} \cdots x_j \cdots x_{i_{n-1}} x_j.$$

### THEOREM (GEBHARD AND SAGAN 2001)

For  $G$  with vertices  $V = [n]$  and an edge  $\epsilon$  between vertices  $j$  and  $n$  we have

$$Y_G = Y_{G-\epsilon} - Y_{G/\epsilon} \uparrow_j.$$

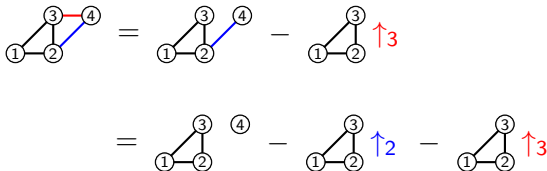


# INDUCTION ON MONOMIALS

## THEOREM (D 2018)

$G$  is a unit interval graph with intervals  $[a_1, 1], [a_2, 2], \dots, [a_n, n]$  and  $G'$  is  $G$  after removing vertex  $n$ . Then,

$$Y_G = Y_{G'} Y_{K_1} - \sum_{i=a_n}^{n-1} Y_{G'} \uparrow_i.$$



## SEMI-SYMMETRIZING

$$e_{12} \uparrow_1 = \frac{1}{2} (e_{12/3} + e_{1/23} - e_{13/2} - e_{123}) \equiv \frac{1}{2} (e_{12/3} - e_{123})$$

For  $\pi \vdash [n]$  let  $\lambda(\pi) \vdash n$  be formed by all the block sizes.

$$\lambda(1/23) = \lambda(13/2) = (2, 1) \quad \text{and} \quad 1/23 \sim 13/2$$

Say two set partitions  $\pi \vdash [n]$  and  $\sigma \vdash [n]$  are *related*,  $\pi \sim \sigma$ , if

- 1  $\lambda(\pi) = \lambda(\sigma)$  and
- 2 the sizes of the blocks containing  $n$  are the same.

If  $\pi \sim \sigma$  we say  $e_\pi$  and  $e_\sigma$  are *equivalent*,

$$e_\pi \equiv e_\sigma.$$

Extend this definition linearly.

# SEMI-SYMMETRIZING

For  $\pi \vdash [n-1]$  define

$$\pi \oplus_j n \vdash [n]$$

to be the integer partition where we place  $n$  in the same block as  $j$ .

## THEOREM (GEBHARD AND SAGAN 2001)

For  $\pi \vdash [n-1]$ ,  $j < n$  and  $b$  the size of the block in  $\pi$  containing  $n-1$  we have

$$e_\pi \uparrow_j \equiv \frac{1}{b} (e_{\pi/n} - e_{\pi \oplus_j n}).$$

Call  $G$  *semi-symmetrized e-positive* if  $Y_G \equiv f$  for some  $f \in \text{NCSym}$  that is a sum of nonnegative  $e_\pi$ .

**Fact:** If  $G$  is semi-symmetrized e-positive then  $G$  is e-positive.

# NEW FORMULA FOR UNIT INTERVAL GRAPHS

## THEOREM (D 2018)

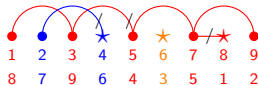
For a unit interval graph  $G$  on  $n$  vertices,

$$Y_G \equiv \frac{1}{n!} \sum_{D \in \mathcal{A}'_L(G)} (-1)^{t(D)} e_{\pi(D)}.$$

Arc diagrams  $D \in \mathcal{A}'_L(G)$  are defined by:

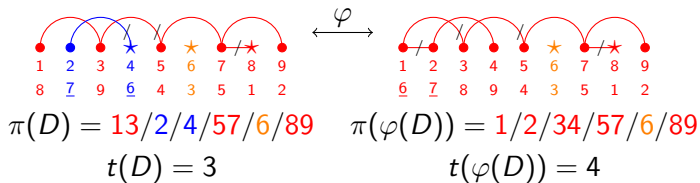
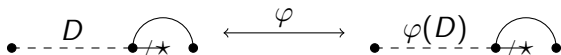
- all vertices have at most one left arc,
- each arc possibly has a tic mark,
- a permutation labeling increasing on all pieces and
- one vertex in each right-most piece is marked with a star.

$D \in \mathcal{A}'_L(TL_9)$  with  $t(D) = 3$  and  $\pi(D) = 13/2/4/57/6/89$ .



# THE SIGN-REVERSING INVOLUTION FOR $TL_n$

The general inductive idea:

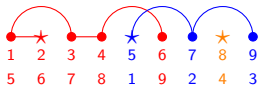


The sign-reversing involution:

- changes  $t(D)$  by one and
- has  $\pi(D) \sim \pi(\varphi(D))$ .
- There are 18 cases where  $D$  is a fixed point.

# THE SIGN-REVERSING INVOLUTION

$D \in \mathcal{A}_L(TL_9)$  with  $\pi(D) = 12346/579/8$  is a fixed point.



Fixed points:

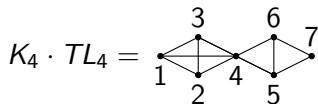
- have no tic marks,
- have a star on each connected component and
- satisfy 5 other more detailed conditions.

# NEW FAMILY OF $e$ -POSITIVE GRAPHS

## THEOREM (D 2018)

The triangular ladder  $TL_n$ ,  $n \geq 1$ , is semi-symmetrized  $e$ -positive and so  $e$ -positive.

Given a graph  $G$  on  $[n]$  and  $H$  on  $[m]$  their *concatenation* is the graph  $G \cdot H$  on  $[n + m - 1]$  where  $G$  is on the first  $n$  vertices and  $H$  is on the last  $m$ .



## THEOREM (GEBHARD AND SAGAN 2001)

If a graph  $G$  is semi-symmetrized  $e$ -positive then so is the concatenation  $G \cdot K_m$  and  $G \cdot TL_4$ .

# NEW FAMILY OF $e$ -POSITIVE GRAPHS

## PROPOSITION (D 2018)

Any graph  $G$  such that

$$G = G_1 \cdot G_2 \cdots G_l,$$

where  $G_i = TL_{n_i}$  or  $G_i = K_{n_i}$ , is a semi-symmetrized  $e$ -positive graph, so also  $e$ -positive.

### Further work:

- Investigate the relationship between the positive terms of  $TL_n$  and acyclic orientations.
- By computer calculation all unit interval graphs up to  $n = 7$  vertices are semi-symmetrized  $e$ -positive. Investigate if this is true for all unit interval graphs.



Thank you very much!