

Some identities involving second kind Stirling numbers of types B and D

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Two famous identities

Euler-Stirling identity

For all non-negative integers $n \geq r$, we have

$$S(n, r) = \frac{1}{r!} \sum_{k=0}^r A(n, k) \binom{n-k}{r-k}, \quad (1)$$

where: $S(n, r)$ = Stirling number of second kind, counting the number of partitions of $\{1, \dots, n\}$ in r blocks, $A(n, k)$ = number of permutations $\pi \in S_n$ having $k+1$ descents (where i is a descent if $\pi(i) > \pi(i+1)$).

Stirling number as coefficients of falling factorials

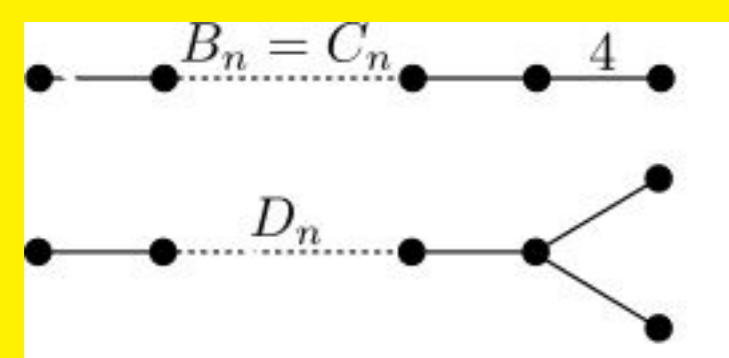
Let $[x]_k := x(x-1)\dots(x-k+1)$ be the falling factorial of degree k and $[x]_0 := 1$.

Let $x \in \mathbb{R}$ and let $n \in \mathbb{N}$. Then we have

$$x^n = \sum_{k=0}^n S(n, k) [x]_k. \quad (2)$$

Main goal: Generalizations to Coxeter groups of types B and D and to the colored permutation groups $G_{r,n}$

$B_n = \mathbb{Z}_2^n \times S_n$; $D_n = \{\pi \in B_n \mid \text{neg}(\pi) \equiv 0 \pmod{2}\}$; $G_{r,n} = \mathbb{Z}_2^n \times S_n$



Preliminaries

Eulerian numbers of types B and D

$\text{Des}_B(\beta) = \{i \in [0, n-1] \mid \beta(i) > \beta(i+1)\}$, where $\beta(0) := 0$ (we use the usual order on the integers). In particular, $0 \in \text{Des}_B(\beta)$ is a descent if and only if $\beta(1) < 0$.

$$\text{des}_B(\beta) := |\text{Des}_B(\beta)|.$$

$$A_B(n, k) := |\{\beta \in B_n \mid \text{des}_B(\beta) = k\}|.$$

B_n -partitions, D_n -partitions, $G_{r,n}$ -partitions and Stirling numbers of second kind

A B_n -partition is a set partition λ of $[\pm n]$ into blocks such that the following conditions are satisfied:

- There exists at most one block satisfying $-C = C$, called the zero-block: $C = \{\pm i \mid i \in S\} \subseteq [\pm n]$ for some $S \subseteq [n]$.
- If $C \in \lambda$ is a block in the partition λ , then $-C \in \lambda$ as well.

A D_n -partition is a B_n -partition such that the zero-block, if exists, contains at least two positive elements.

Example:

$$(a) \{\{1, -3, 6, 8, -9\}, \{-1, 3, -6, -8, 9\}, \{2, -2\}, \{4, 5, -7\}, \{-4, -5, 7\}\}$$

is a B_n -partition, which is not a D_n -partition.

$$(b) \{\{1, -3, 6, 8, -9\}, \{-1, 3, -6, -8, 9\}, \{2, -2, 4, -4\}, \{5, -7\}, \{-5, 7\}\}$$

is a D_n -partition.

Denote by $S_B(n, r)$ (resp. $S_D(n, r)$) the number of B_n - (resp. D_n -) partitions having exactly r pairs of nonzero blocks, which are called Stirling numbers (of the second kind) of type B (resp. type D). They appear as sequences A039755 and A039760 in OEIS.

A B_n -partition (or D_n -partition) is called ordered if the set of blocks is totally ordered and the following conditions are satisfied:

- If the zero-block exists, then it appears as the first block.
- For a non-zero block C , the blocks C and $-C$ are adjacent.

A $G_{r,n}$ -partition is a set partition of

$$\Sigma = \{1, 2, \dots, n, 1^{[1]}, 2^{[1]}, \dots, n^{[1]}, \dots, 1^{[r-1]}, 2^{[r-1]}, \dots, n^{[r-1]}\}$$

into blocks such that the following conditions are satisfied:

- There exists at most one zero-block satisfying $C^{[1]} = \{x^{[1+t]} \mid x^{[t]} \in C\} = C$.
- If C appears as a block in the partition λ , then $C^{[1]} \in \lambda$ as well.

Two blocks C_1 and C_2 will be called equivalent if there is a natural number $t \in \mathbb{N}$ such that $C_1 = C_2^{[t]} = \{x^{[1+t]} \mid x^{[t]} \in C_2\}$.

The number of $G_{r,n}$ -partitions with r non-equivalent nonzero blocks is denoted by $S_m(n, r)$.

Example for a $G_{3,4}$ -partition:

$$\left\{ \underbrace{\{1, 1^{[1]}, 1^{[2]}, 2, 2^{[1]}, 2^{[2]}\}}_{\text{zero block}}, \{3, 4^{[1]}\}, \{3^{[1]}, 4^{[2]}\}, \{3^{[2]}, 4\} \right\}.$$

Euler-Stirling

Generalization of Equation (1) to type B

For all non-negative integers $n \geq r$, we have:

$$2^r r! \cdot S_B(n, r) = \sum_{k=0}^r A_B(n, k) \binom{n-k}{r-k}.$$

Proof's idea for type B

L.H.S. = Number of ordered set partitions of $[\pm n]$ of type B .
R.H.S. = Weighted sum of numbers of signed permutations classified by their descents.

Euler-Stirling (Cont.)

Proof's idea for type B (cont.)

Let $\pi \in B_n$ with $\text{des}_B(\pi) = k$ be written in complete notation:

$$\pi = \left[\begin{array}{cccccc} -5 & -4 & -3 & -2 & -1 & & 1 & 2 & 3 & 4 & 5 \\ -2 & 3 & 5 & -4 & -1 & & 1 & 4 & -5 & -3 & 2 \end{array} \right].$$

Divide the negative part into blocks by putting separators after every descent and reflect these separators to the positive part.

In our example:

$$\pi = [-2 \ 3 \ 5 \mid -4 \ -1 \mid 1 \ 4 \mid -5 \ -3 \ 2].$$

Perform the following two steps:

- If $\pi(-1)$ and $\pi(1)$ are in the same block (the zero-block), then move this block to the beginning.
- For each non-zero block B contained in the negative part of π , locate the block $-B$ right after it.

If $r = k$, then we have associated to the signed permutation π an ordered set partition of type B and we are done.

If $r > k$, refine the partition by simultaneously splitting pairs of blocks of the form B and $-B$ (where $B \neq -B$), or by splitting a zero-block.

Example (cont.) $\beta = [1, 4 \mid -5, -3, 2] \in B_5$ produces the ordered B_5 -partition with one pair of nonzero blocks $[\{\pm 1, \pm 4\}, \{-5, -3, 2\}, \{5, 3, -2\}]$, and exactly $\binom{4}{2}$ ordered B_5 -partitions with two pairs of nonzero blocks, namely:

$$\begin{aligned} & \{\{1, 4\}, \{-1, -4\}, \{-5, -3, 2\}, \{5, 3, -2\}\}, \\ & \{\{\pm 1\}, \{4\}, \{-4\}, \{-5, -3, 2\}, \{5, 3, -2\}\}, \\ & \{\{\pm 1, \pm 4\}, \{-5\}, \{5\}, \{-3, 2\}, \{3, -2\}\}, \\ & \{\{\pm 1, \pm 4\}, \{-5, -3\}, \{5, 3\}, \{2\}, \{-2\}\}, \end{aligned}$$

obtained by placing one artificial separator before entries 1, 2, 4 and 5, respectively. The other ordered partitions coming from β with more blocks are obtained similarly.

Generalization of Equation (1) to type D and its idea of proof

For all non-negative integers $n \geq r$, with $n \neq 1$, we have:

$$2^r r! \cdot S_D(n, r) = \sum_{k=0}^r A_D(n, k) \binom{n-k}{r-k} + n \cdot 2^{n-1} (r-1)! \cdot S(n-1, r-1)$$

where $S(n-1, r-1)$ is the usual Stirling number of second kind.

Proof's idea: The proof for type D is a bit more tricky. The basic idea is the same as in type B , except for that when a zero block with only one pair is liable to be obtained, i.e. the permutation has a descent after the first digit, but not before that digit, we switch to a permutation of $B_n - D_n$.

As a result, some of the D_n -partitions are not obtained, so we count them separately.

Falling factorials

Generalization of Equation (2) to type B (Remmel-Wachs, Bala)

Let $x \in \mathbb{R}$ and let $n \in \mathbb{N}$. Then we have:

$$x^n = \sum_{k=0}^n S_B(n, k) [x]_k^B,$$

where $[x]_k^B := (x-1)(x-3)\dots(x-2k+1)$ and $[x]_0^B := 1$.

Our combinatorial proof's idea for type B (suggested to us by V. Reiner)

It is sufficient to prove the identity for odd integers $x = 2m+1$: L.H.S. counts lattice points of the cube $[-m, m]^n \cap \mathbb{Z}^n$.

R.H.S. exploits B_n -partitions to count these points using the maximal intersection subsets of hyperplanes the points lay on.

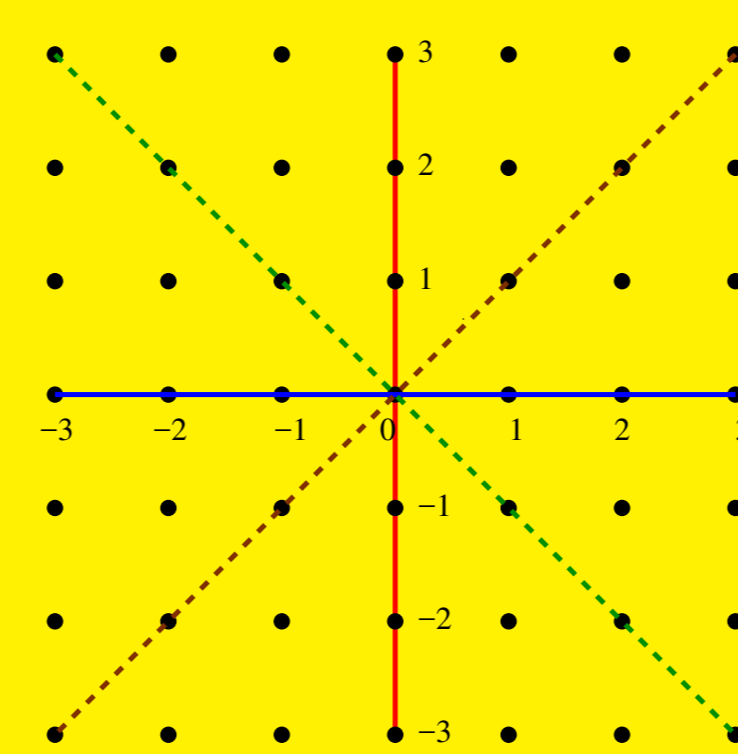
Example: The B_6 -partition

$$\lambda = \{\{1, -2, 4\}, \{-1, 2, -4\}, \{3, -5\}, \{-3, 5\}, \{6, -6\}\}$$

corresponds to the subspace:

$$\{x_1 = -x_2 = x_4\} \cap \{x_3 = -x_5\} \cap \{x_6 = 0\}.$$

Example: Let $n = 2$ and $m = 3$, so $x = 2m+1 = 7$.



$k = 0$: The only B_2 -partition with 0 non-zero blocks is $\lambda_0 = \{\{1, -1, 2, -2\}\}$ corresponding to the subspace $\{x_1 = x_2 = 0\}$, containing only $(0, 0)$.

$k = 1$: We have four B_2 -partitions, two of them contain a zero-block:

$$\lambda_1 = \{\{1, -1\}, \{2\}, \{-2\}\} \mapsto \{(x_1, x_2) \mid x_1 = 0\}$$

$$\lambda_2 = \{\{2, -2\}, \{1\}, \{-1\}\} \mapsto \{(x_1, x_2) \mid x_2 = 0\}$$

and two of them do not:

$$\lambda_3 = \{\{1, 2\}, \{-1, -2\}\} \mapsto \{(x_1, x_2) \mid x_1 = x_2\}$$

$$\lambda_4 = \{\{1, -2\}, \{-1, 2\}\} \mapsto \{(x_1, x_2) \mid x_1 = -x_2\}.$$

Each of these hyperplanes contains 6 points (w/o the origin).

Falling factorials (Cont.)

Combinatorial proof (cont.)

The rest of the points are counted in the case of $k = n = 2$ pairs of non-zero blocks:

$k = 2$: The single B_2 -partition:

$$\lambda_5 = \{\{1\}, \{-1\}, \{2\}, \{-2\}\} \mapsto \{(x_1, x_2) \mid x_1 \neq \pm x_2 \neq 0\}$$

which are the lattice points not lying on any hyperplane.

Falling factorial for type D

$$[x]_k^D := \begin{cases} 1, & k = 0; \\ (x-1)(x-3)\dots(x-(2k-1)), & 1 \leq k < n; \\ (x-1)(x-3)\dots(x-(2n-3))(x-(n-1)), & k = n. \end{cases}$$

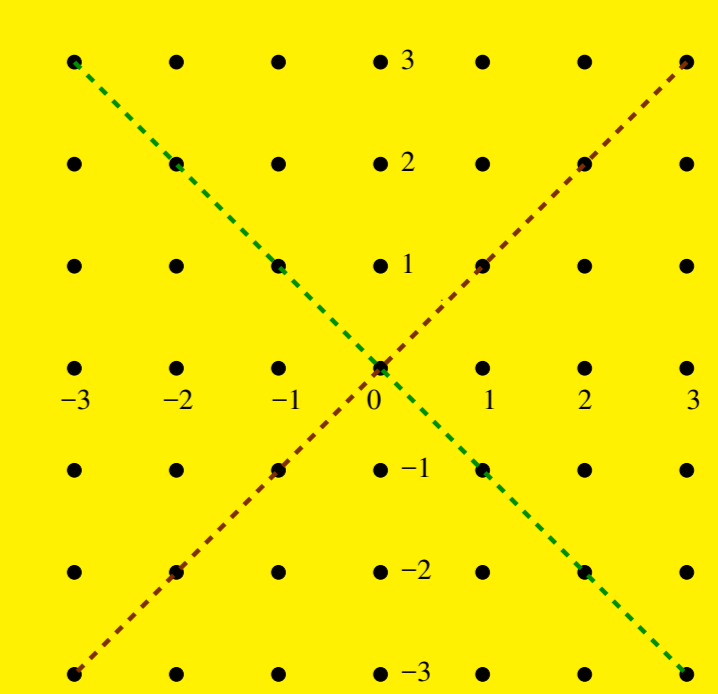
Generalization of Equation (2) to type D

For all $n \in \mathbb{N}$ and $x \in \mathbb{R}$:

$$x^n = \sum_{k=0}^n S_D(n, k) [x]_k^D + n \left((x-1)^{n-1} - [x]_{n-1}^D \right).$$

Proof's idea for type D

Let $n = 2$ and $m = 3$, so $x = 2m+1 = 7$.



$k = 0$: we have exactly one D_2 -partition $\lambda_0 = \{\{1, -1, 2, -2\}\}$ which counts only the lattice point $(0, 0)$.

$k = 1$: we have only two D_2 -partitions:

$$\lambda_1 = \{\{1, 2\}, \{-1, -2\}\} \mapsto \{(x_1, x_2) \mid x_1 = x_2\}$$

$$\lambda_2 = \{\{1, -2\}, \{-1, 2\}\} \mapsto \{(x_1, x_2) \mid x_1 = -x_2\}$$

$k = 2$: There is a single D_2 -partition:

$$\lambda_3 = \{\{1\}, \{-1\}, \{2\}, \{-2\}\} \mapsto \{(x_1, x_2) \mid x_1 \neq \pm x_2\}$$

Now, the value 0 can also appear (different from type B , since the axes were not counted in step $k = 1$ of type D).

These are all the lattice points which do not lie on the diagonals.

The missing lattice points for $n \geq 3$

When $n \geq 3$, there are points which are not counted. They have the form (x_1, x_2, x_3) , such that exactly one of their coordinates is 0 and the other two share the same absolute value. e.g. the points $(0, 2, 2)$ and $(0, 2, -2)$ are not counted.

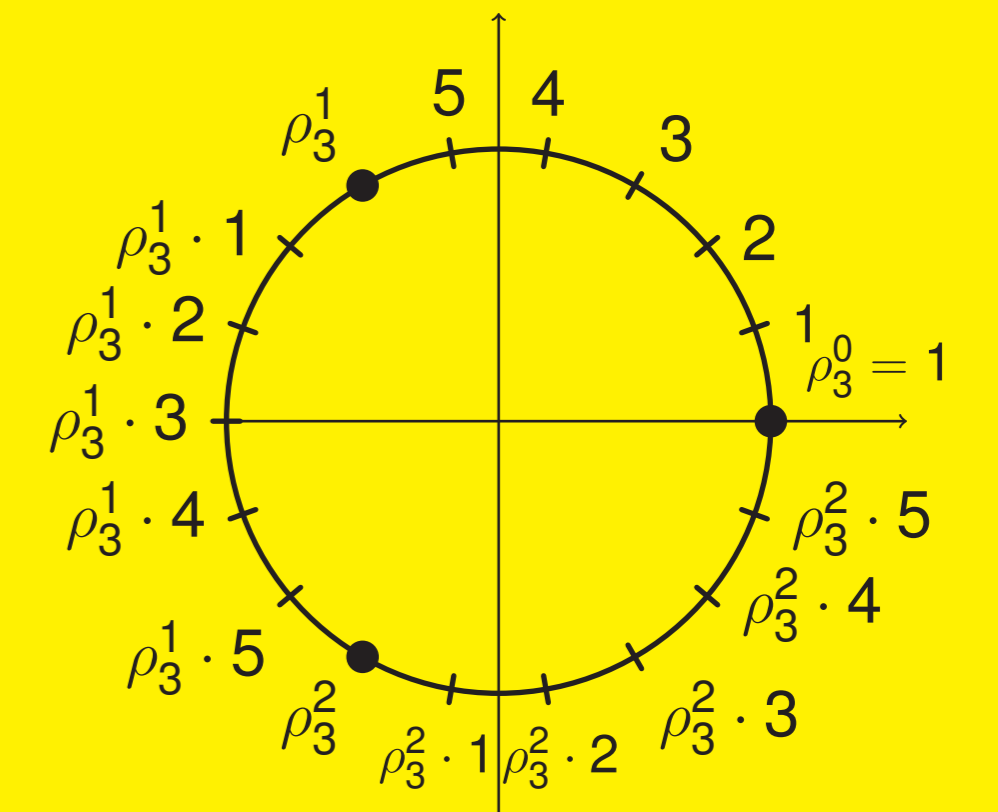
The number of such missing lattice points (which is the second summand in the R.H.S. for $n = 3$) is: $3 \cdot 6^2 - 3 \cdot 6 \cdot 4 = 36$.

Generalization of Equation (2) to colored permutation groups $G_{r,n}$

Let $x \in \mathbb{R}$ and $n \in \mathbb{N}$. Then we have: $x^n = \sum_{k=0}^n S_m(n, k) [x]_k^m$.

Sketch of the proof:

- Divide the unit circle S^1 according to the m th roots of unity: $1, \rho_m, \rho_m^2, \dots, \rho_m^{m-1}$. This divides the circle into m arcs.
- In each arc, locate t points in equal distances from each other.
- We get $x = mt + 1$ points on the unit circle, including the point $(1, 0)$.



- Consider the n -dimensional torus $(S^1)^n = S^1 \times \dots \times S^1$ with x^n lattice points on it.

The same arguments will apply, when we interpret the $G_{r,n}$ -partitions as intersections of subsets of hyperplanes in the generalized hyperplane arrangement $\mathcal{G}_{m,n}$ for the colored permutations group:

$$\mathcal{G}_{m,n} := \{ \{x_i = \rho_m^k x_j\} \mid 1 \leq i < j \leq n, 0 \leq k < m \} \cup \{ \{x_i = 0\} \mid 1 \leq i \leq n \},$$

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